

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sanjay Deshpande et al. Docket No.: 4797-65
Serial No.: 10/008,269 Examiner: To be assigned
Filed: November 8, 2001 Group Art Unit: 2164
Title: **SYSTEM AND METHOD FOR ENABLING CONTENT PROVIDERS IN A FINANCIAL SERVICES ORGANIZATION TO SELF-PUBLISH CONTENT**

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July 18, 2002

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, applicant hereby notifies the U. S. Patent and Trademark Office of the following document, which is listed on the attached PTO/SB/08A form. The examiner may deem this document to be relevant to patentability of the claims of the above-identified application. A copy of the document is enclosed for the examiner's convenience.

The submission of the listed document is not intended as an admission that the document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed document be considered by the examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

It is understood that no fee is necessary for submission of this Information Disclosure Statement, as it is being filed before the later of three months from the filing date or the mailing of the first Office Action on the merits.

The Commissioner is hereby authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4270.

Respectfully submitted,



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STATEMENT BY APPLICANT

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Sheet 1 of 3

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Application Number	10/008,269
Filing Date	November 8, 2001
First Named Inventor	Sanjay Deshpande et al.
Group Art Unit	2164
Examiner Name	To be assigned
Attorney Docket Number	4797-65

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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,864,871		Kitain et al.	01-26-1999	
		6,154,731		Monks et al.	11-28-2000	
		6,154,729		Cannon et al.	11-28-2000	
		6,144,947		Schwartz	11-07-2000	
		6,119,104		Brumbelow et al.	09-12-2000	
		5,913,202		Motoyama	06-15-1999	
		5,880,726		Takiguchi et al.	03-09-1999	
		5,864,827		Wilson	01-26-1999	
		5,809,483		Broka et al.	09-15-1998	
		5,712,987		Waits et al.	01-27-1998	
		5,689,651		Lozman	11-18-1997	
		5,502,637		Beaulieu et al.	03-26-1996	
		5,339,392		Risberg et al.	08-16-1994	
		5,270,922		Higgins	12-14-1993	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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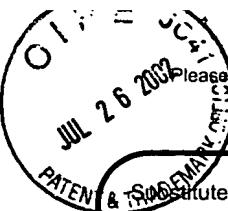
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